

**AMENDMENT TRANSMITTAL LETTER (Small Entity)**Applicant(s): **Richard C. PAYNE**

Docket No.

GENP:101\_US\_

Application No.

09/893,633

Filing Date

06/27/2001

Examiner

Clement B. Graham

Customer No.

24041

Group Art Unit

3692

Confirmation No.

5630

**COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL  
OPTION****COMMISSIONER FOR PATENTS:**

Transmitted herewith is an amendment in the above-identified application.

☐ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23 -	23 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	10 -	11 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-0822**
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

/C. Paul Maliszewski/

*Signature*Dated: **July 31, 2007**

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July 31, 2007

(Date)

/C. Paul Maliszewski/

*Signature of Person Mailing Correspondence***C. Paul Maliszewski***Typed or Printed Name of Person Mailing Correspondence*

CPM/KRB

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING  
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101\_US\_

Customer No.: 24041

**Certificate of Mailing by First Class Mail**

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/C. Paul Maliszewski/

C. Paul Maliszewski  
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**AMENDMENT AND REQUEST FOR RECONSIDERATION**

Mail Stop RCE  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated May 31, 2007 regarding the above-identified patent application. This paper is the required submittal for a Request for Continued Examination (RCE) filed this same day.

**Amendments to the Claims** begin on page 2 of this paper.

**Remarks/Arguments** begin on page 9 of this paper.

A check in the amount of \$395.00 for the required RCE fee is attached.